

SBI Canada Bank is currently looking to fill the role of Assistant Manager (Compliance Auditor).

Location: Mississauga, near Square One
Position: Compliance Auditor
Duration: 1 Year Contract (Covering a Maternity Leave)

The Compliance team conducts various types of monitoring and independent testing exercises of compliance-related functions performed by business functions. This position will report to the Chief Compliance Officer (CCO) and will be primarily responsible for performing the following functions:

- Provide support in developing risk-based compliance monitoring and testing plans
- Provide support in developing monitoring and testing procedures and checklists
- Conduct independent testing of functions performed by branches and business functions
- Perform quality assurance reviews of functions performed by the compliance team
- Conduct validation testing of self-assessments conducted by branches, business functions, and risk management functions
- Conduct periodic testing of the integrity of the compliance-related automated systems used by the bank
- Discuss observations with concerned staff members and the CCO
- Provide recommendations on resolving identified gaps and deficiencies
- Develop draft reports and participate in closing meetings
- Maintain follow-up and track progress made on identified gaps and deficiencies
- Provide periodic status report on identified gaps and deficiencies to the CCO
- Work closely with risk management and internal audit teams
- Perform other functions as assigned by the CCO

Skills/Requirements:

- A professional internal auditing designation
- Two years internal and/or external audit/consulting experience in banking industry
- Valid Driving License
- Applicants must be eligible to work in Canada

This position is based out of our Head Office in Mississauga and requires occasional travel to branches in GTA and British Columbia. For further details please visit: www.sbicanada.com. All interested Applicants please forward resume to Fernanda Minadeo: fminadeo@sbicanada.com **Please quote Assistant Manager (Compliance Auditor) in the subject line.**